

PATENT

Case Docket No. LOMAU.108CPDV1

Date: February 6, 2004

3731-8  
JB  
#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Yong Hua Zhu, et al.  
Appl. No. : 09/429,700  
Filed : August 13, 2001  
For : TISSUE OPENING LOCATOR  
AND EVERTER AND  
METHOD  
Examiner : Gary Jackson  
Group Art Unit : 3731

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 6, 2004

Glen L. Nuttall, Reg. No. 46,188

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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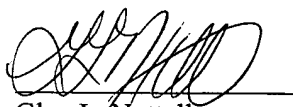
FEB 13 2004

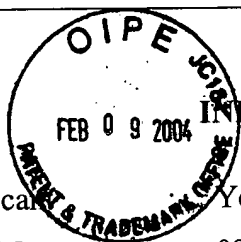
TECHNOLOGY CENTER R3700

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with eighteen (18) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

  
Glen L. Nuttall  
Registration No. 46,188  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404



## INFORMATION DISCLOSURE STATEMENT

Applicant: Yong Hua Zhu, et al.

App. No. : 09/121,700

Filed : August 13, 2001

For : TISSUE OPENING LOCATOR AND  
EVERTER AND METHOD

Examiner : Gary Jackson

Group Art Unit : 3731

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FEB 13 2004

TECHNOLOGY CENTER R3700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing eighteen (18) references that are also enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON &amp; BEAR, LLP

Dated: 2/6/04By: 

Glen L. Nuttall

Registration No. 46,188

Attorney of Record

Customer No. 20,995

(949) 760-0404

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. LOMAU.108CPDV1	APPLICATION NO. 09/121,700
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (USE SEVERAL SHEETS IF NECESSARY)		<b>RECEIVED</b> <b>FEB 13 2004</b> <b>TECHNOLOGY CENTER R3700</b>	
		APPLICANT Zhu, et al.	
		FILING DATE August 13, 2001	GROUP 3731

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	6,197,042	03/06/01	Ginn, et al.			
	2	6,277,140	08/21/01	Ginn, et al.			
	3	6,391,048	05/21/02	Ginn, et al.			
	4	6,461,364	10/08/02	Ginn, et al.			
	5	6,623,510	09/23/03	Carley, et al.			
	6	6,626,918	09/30/03	Ginn, et al.			
	7	6,632,238	10/14/03	Ginn, et al.			
	8	US 2002/0002386 A1	01/03/02	Ginn, et al.			
	9	US 2002/0072768 A1	06/13/02	Ginn			
	10	US 2002/0077656 A1	06/20/02	Ginn, et al.			
	11	US 2002/0077657 A1	06/20/02	Ginn, et al.			
	12	US 2002/0147479 A1	10/10/02	Aldrich			
	13	US 2003/0023267 A1	01/30/03	Ginn			
	14	US 2003/0045893 A1	03/06/03	Ginn			
	15	US 2003/0050665 A1	03/13/03	Ginn			
	16	US 2003/0078598 A1	04/24/03	Ginn, et al.			
	17	US 2003/0158577 A1	08/21/03	Ginn, et al.			
	18	US 2003/0158578 A1	08/21/03	Pantages, et al.			

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

H:\DOCS\GLN\GLN-7374.DOC\020504

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	